FORM PTO-1390 (Modified) (REV 11-2000) DOCKET NUMBER OF COMMERCE PATENT AND TRADEMARK OFFICE LIP 006 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 088239 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE00/03222 15 September 2000 16 September 1999 TITLE OF INVENTION BEARING DEVICE APPLICANT(S) FOR DO/EO/US STANGIER et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. \square This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include itens (5), (6), 3. (9) and (24) indicated below. \square The US has been elected by the expiration of 19 months from the priority date (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. 🛛 is attached hereto (required only if not communicated by the International Bureau). b. 🗵 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛮 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) П a. \Box are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. 🗆 c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. 12. \square A copy of the International Search Report (PCT/ISA/210). Items 13 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. \square A FIRST preliminary amendment. 15. 16. A SECOND or SUBSEQUENT preliminary amendment. 17. A substitute specification. 18. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 19. A second copy of the published international application under 35 U.S.C. 154(d)(4). 20. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 21. Certificate of Mailing by Express Mail 22. Other items or information: 23.

U.S. APPLICATION NO (IF KNO INTERNATIONAL APPLICATION NO. PCT/DE00/03222 **LIP 006** 24. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the ÉPO or JPO \$890.00 \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** \$890.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00 **CLAIMS** NUMBER FILED **NUMBER EXTRA RATE** Total claims 9 -20 =\$18.00 \$0.00 0 \$84.00 \$0.00 Independent claims - 3 = 1 Multiple Dependent Claims (check if applicable). \$0.00 TOTAL OF ABOVE CALCULATIONS \$890.00 Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2. \$0.00 SUBTOTAL \$890.00 Processing fee of \$130.00 for furnishing the English translation later than 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00 TOTAL NATIONAL FEE = \$890.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \square \$40.00 TOTAL FEES ENCLOSED \$930.00 Amount to be: refunded \$ charged \$ \boxtimes A check in the amount of \$930.00 to cover the above fees is enclosed. a. b. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment C. to Deposit Account No. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status SEND ALL CORRESPONDENCE TO: Steven J. Grossman

Steven J. Grossman Grossman, Tucker, Perreault & Pfleger, PLLC 795 Elm Street, Suite 604 Manchester, New Hampshire 03101 USA

Steven J. Grossman

NAME

35,001

REGISTRATION NUMBER

March 18, 2002

DATE

TRANSMITTAL LET UNITED STATES RECEIVING OFFICE

Date International Application-ivo. March 18, 2002

U.S. Department of Commerce: Patent and Trademark Office

PTO-1	382 (Rev.	4-1995) (Modified	,
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PCT/DE00/03222 LIP 006 Attorney Docket No.

I.	. Certification under 37 CFR 1.10 (if app			olicable)	_	J	C13 Rec'd Pl	CT/PTO	18 MAR 200	2	
		EV 043 245 337 US]	MARCH 18, 2002					
	Express Mail mailing number]	Date of Deposit						
	I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.							ce stant			
			(Graf	mc Cam	a]	Carol McClelland				
	Signature of person mailing correspondence					Typed or printed name of person mailing correspondence					
11.	I. New International Application										
	TITLE Bearing Device						Ü	Ear	liest priority date ay/Month/Year)		
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1	16/09/1999										
	SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):										
	A. The invention disclosed was not made in the United States.										
	B. There is no prior U.S. application relating to this invention.										
	C. The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached								hed		
	international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).										
		application no.				filed on					
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Ī	The present international application is identical contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C.							U.S.			
	E. The present international application contains additional subject matter not found in the prior U.S. application(s)										
	identified in paragraph C. above. The additional subject matter is found on pages and DOES NOT ALTER MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a									in a	
•	manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15										
III. [$\overline{}$	ΔR		Invitation from the R				is (are) enclosed			
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	A. A Request for An Extension of Time to File a Response										
	B. A Power of Attorney (General or Regular)										
(C. Replacement pages:										
			pages	of the request ((PCT/RO/1	PCT/RO/101) pages			of the figures	
		pages of the descript		tion page		pages		of the abstract			
			pages		of the claims						
1	D.	Submission of Priority Documents									
Priority document Priority document											
1	E.		Fees as spec	cified on attached Fee	Calculation she	eet form P	CT/RO/10	1 annex			
IV. A Request for Rectification under PCT 91 A Petition . A Sequence Listing Diskette											
V. Other (please specify):											
The person Applicant								Steven J. Grossn	nán		
igning	this	is Attorney/Agent (Reg. No.)									
UIIII IS	n is the: Common Representative Signature										